Financial Adviser Profile

susanbaker
financial planning pty ltd

Overview

Sue is a Certified Financial Planner with over 20 years experience providing financial planning advice.

Sue likes to take the time to get to know people and what's really important to them, to better design financial strategies to fit them so that they're in control of their money. She's keen to present complex strategies in simple terms to help people understand and have confidence in their decisions for, ultimately, something we all want - peace of mind.

Sue helps clients implement plans that give them clarity and some certainty about the direction they're heading and also help with changes and the unexpected along the way.

Susan Baker is a Sub-Authorised Representative of Susan Baker Financial Planning Pty Ltd, Corporate Authorised Representative No. 1284740. Authorised Representative No. 242862.

Qualifications

Sue holds a Diploma of Financial Planning, is a Certified Financial Planner, and meets the competency requirements under ASIC's Regulatory Guide RG 146. Susan is also a Justice of the Peace

Professional Memberships

Sue is a member of the Financial Planning Association of Australia (FPA) and abides by their code of professional conduct and ethics.

Authorisations

Sue is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- All Superannuation; SMSF
- Securities; and
- Standard Margin Lending Facility.

Sue Baker

Susan Baker Financial Planning Pty Ltd

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Susan Baker Financial Planning Advice Fees and Charges

Sue will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Sue's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Sue provides the option of ongoing reporting and advisory services. This fee is a fixed fee that will vary depending on the complexity and services required. You will be notified of the cost involved prior to the commencement of any ongoing services.

Susan Baker Financial Planning pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Susan Baker is a Director of Susan Baker Financial Planning and will receive a salary/benefit from this company.

Other Benefits Sue May Receive

From time to time Sue may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.